

**AMENDMENT TO THE AMENDMENT IN THE  
NATURE OF A SUBSTITUTE TO H.R. 2420  
OFFERED BY MR. BAKER OF LOUISIANA**

After section 9 insert the following new section (and  
redesignate the succeeding sections accordingly):

1 **SEC. 10. PROXY VOTING DISCLOSURE.**

2 Section 30 of the Investment Company Act of 1940  
3 (15 U.S.C. 80a-29) is amended by adding at the end the  
4 following new subsection:

5 “(j) PROXY VOTING DISCLOSURE.—Every registered  
6 management investment company, other than a small  
7 business investment company, shall file with the Commis-  
8 sion not later than August 31 of each year an annual re-  
9 port, on a form prescribed by the Commission by rule, con-  
10 taining the registrant’s proxy voting record for the most  
11 recent twelve-month period ended the preceding June 30.  
12 The financial statements of every such company shall state  
13 that information regarding the how the company voted  
14 proxies relating to portfolio securities during the most re-  
15 cent 12-month period ended June 30 is available (1) with-  
16 out charge, upon request, by calling a specified toll-free  
17 (or collect) telephone number; or on or through the com-  
18 pany’s website at a specified Internet address; or both;  
19 and (2) on the Commission’s website.”.

