

MEMORANDUM

To: Members of the Committee on Financial Services

From: FSC Majority Staff

Date: September 19, 2016

Subject: September 22, 2016, Subcommittee on Capital Markets and Government Sponsored Enterprises Hearing Entitled “Examining the Agenda of Regulators, SROs, and Standards-Setters for Accounting, Auditing, and Municipal Securities”

The Subcommittee on Capital Markets and Government Sponsored Enterprises will hold a hearing entitled “Examining the Agenda of Regulators, SROs, and Standards-Setters for Accounting, Auditing, and Municipal Securities” on Thursday, September 22nd at 2:00 p.m. in room 2128 of the Rayburn House Office Building. This will be a one-panel hearing with the following witnesses:

- Wesley Bricker, Interim Chief Accountant, Office of the Chief Accountant, Securities and Exchange Commission;
- James Doty, Chairman, Public Company Accounting Oversight Board;
- Russell Golden, Chairman, Financial Accounting Standards Board
- Jessica Kane, Director, Office of Municipal Securities, Securities and Exchange Commission;
- Lynnette Kelly, Executive Director, Municipal Securities Rulemaking Board; and
- Robert Colby, Chief Legal Officer, Financial Industry Regulatory Authority

Conducting effective oversight of the agencies, entities and programs within its jurisdiction is one of the Financial Services Committee’s highest priorities in the 114th Congress. The purpose of this hearing is to examine the mission, operation and activities of the regulators, self-regulatory organizations (SROs) and standards-setters for accounting, auditing and municipal securities.